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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 2826

Examiner: Mr. Benjamin P. Sandvik

## In re PATENT APPLICATION of:

Applicant	:	Kazutaka SHIBATA	)	
Serial No.	:	10/795,994	)	
Filed	:	March 10, 2004	) )	INFORMATION DISCLOSURE
For	:	SEMICONDUCTOR DEVICE AND METHOD FOR MANUFACTURING THE SAME	)	STATEMENT
Attorney Ref.	:	KAW 110D1	) _	Ianuary 8 2007

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted under 37 CFR 1.97-1.98, within the time period embraced by 37 CFR 1.97(d).

A Form PTO-1449 and copies of the references listed therein are attached. These references were cited in a search report on a counterpart European application, and a copy of the European search report is attached to show the relevance of the non-English references. A patent Abstracts of Japan Summary is also attached to provide English-language information about the relevance of the Japanese-language reference. It is noted that the attached European search report identifies more references than are listed on the attached form PTO-1449. The reason is that the additional references are already of record in the present US application.

The European search report is dated December 4, 2006. Accordingly, it is hereby certified, pursuant to 37 CFR 1.97 (e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

01/09/2337 HMARZII 08333898 18795994 01 FC:1886 The \$ 180 fee specified by 37 CFR 1.17 (b) is being submitted concurrently. Should this remittance be accidentally missing or insufficient, though, any fees that may be needed can be charged to our deposit account number 18-0002.

In view of the foregoing, it is respectfully requested that the references be considered and made of record.

Respectfully submitted,

Allen Wood - Reg. No. 28,134

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FORM PTO-1449				Atty. Docket: Application No.:							
INFORMATION DISCLOSURE STATEMENT				KAW 110 10/795,994 D1							
OFE MARK				Applicant: Kazutaka SHIBATA							
JAN 08 2007	9	·	Filing Date: March 10, 2004	Group Art Unit: 2826							
U.S. PATENT DOCUMENTS											
Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date				
٠	AA	US 5,629,566	05/13/1997	Doi et al.							
	AB	US 6,121,689	09/19/2000	Capote et al.		:					
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